

# ANNUAL REPORT 2012/2013

**20 YEARS**  
OF DEMOCRACY  
& RESEARCH  
EXCELLENCE





# Vision and Mission

## VISION

The HSRC intends to serve as a knowledge hub for research-based solutions to inform human and social development in South Africa, the African continent and the rest of the world.

## MISSION

The mission of the HSRC is to be a research organisation that advances social sciences and humanities for public use.



# Objective of the HSRC

(Section 3, Act 17 of 2008)

- Address developmental challenges
- Inform effective formulation and monitoring and evaluation
- Stimulate public debate
- Help build research capacity and infrastructure
- Foster research collaboration, networks and institutional linkages,
- Respond to the needs of vulnerable and marginalised groups, and
- Develop and make available data sets

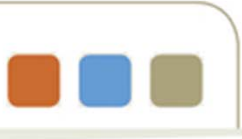


# Policy Mandate

- Outcome 1 (Improved quality of basic education),
- Outcome 2 (A long and healthy life for all South Africans), and
- Outcome 5 (A skilled and capable workforce to support an inclusive growth path).

- Strategic intent:

To have addressed **key priorities** facing South Africa through its research, and to have generated **new knowledge** that helps us understand the changing human and social environment in which we live)



# Strategic outcome oriented goals

- A – “Knowledge Advancement”**: Advancing social sciences and humanities for public use by initiating, undertaking and fostering basic and applied research in human and social sciences, and geopolitical issues; stimulated public debate and disseminated research results.
- D – “Contribution to Development and Social Progress in Africa”**: Conducting research, analysing and publishing data that aims to address developmental challenges in South Africa and elsewhere in Africa and the rest of the world.
- E – “Enhanced Skills”**: Contribution to the development of a skilled and capable workforce in the Republic and elsewhere in Africa by providing developing research skills & capacity.
- P – “Preserved data & knowledge”**: Digitisation and preservation of data sets.
- T – “Transformation”**: Transformation at senior level to reflect the national demographic composition with respect to race and gender.
- S – “Financial Sustainability”**: Improvement and implementation of effective and efficient systems of financial management and good corporate governance; and ensuring sustainability of research funding through long-term research projects and longitudinal studies.

# ORGANISATIONAL OVERVIEW



# GOVERNANCE REPORT





## Governance: Ethics

- Ethical leadership: Board approved HSRC Code of Ethics and the Code of Conduct and circulated to staff
- The Anti-Corruption Strategy: approved by the board to provide a framework for the management of ethics risks across the HSRC
- Enforced policy on conflict of interest
- HSRC commemorates the international anti-corruption day on 9 December every year since 2010
- Research ethics and integrity unit established
- Research Ethics Committee considered 61 proposals





# Governance: Sustainability

- Financial sustainability: multi-year funding
- Social responsibility review
  - Distinctive research approach
  - Considering the human factor
  - Bridging the gaps between theory and practice
  - Commitment to research quality and capacity development
  - Community-oriented activities
- Environmental protection





# Governance: Risk Management

- HSRC has a Risk Management Plan which was implemented
- The Enterprise Risk Management (ERM) strategy
- HSRC Board is responsible for risk governance
- The Audit Committee and the Risk Management Committee ensures combined assurance to address significant risks
- Internal Audit provides assurance services on the effectiveness and adequacy of risk management
- The HSRC risk management maturity had reached level 5 (managed), as at 31 March 2013, compared to level 3.6 (out of 6) in March 2012.



## LEGISLATIVE COMPLIANCE LEVELS 2011/12 VS 2012/13





# Governance: IT

- The IT unit completed a PriceWaterhouseCoopers self-assessment on the thirteen IT governance focus areas i.e.
  - IT Strategy and Alignment,
  - Information Security,
  - IT Governance Framework,
  - IT Risk Assessment,
  - IT Value Delivery and Performance Management, Roles and Responsibilities,
  - Compliance to Laws and Regulations,
  - Business Continuity Planning, etc. and also
  - against the seven King III Governance Principles.
- The outcome of the King III IT governance self-assessment was compared with other organisations in the same category and the HSRC showed a higher than average maturity level.
- Areas of improvement were identified and a roadmap was drawn up to address these areas.



## Governance: IT Projects

- **Broadband Connection:** Successfully connected to the South African National Research Network (SANReN)
- **IT Information Security:** reviewed information security practices, policies and standards and awareness was raised.
- **IT Governance Framework :** developed

# PERFORMANCE AGAINST PREDETERMINED OBJECTIVES

Success

Solution

Business S

- Innovation
- Branding
- Solution
- Marketing
- Analysis
- Ideas
- Success
- Management





# Performance Indicators

- **A** - Advance 70% (Out of 10 targets: 1 achieved & 6 exceeded)
- **D** - Develop 83% (Out of 35 targets: 29 achieved)
- **E** - Enhance 100% (Out of 3 targets: 1 achieved & 2 exceeded)
- **P** - Preserve 100% (Exceeded target & preserved 22/21 datasets)
- **T** – Transform 70% (Out of 5 targets: 3 achieved)
- **S** – Sustain 100% (Out of 5 targets: 1 achieved & 4 exceeded)
- **OVERALL PERFORMANCE: 81% (48/59) of its annual targets for the 2012/2013 financial year, performance against targets**



# Publishing and Knowledge Dissemination

The number of peer-reviewed publications in internationally accredited scientific journals per senior researcher has increased from 1.68 in 2011/12 to 1.77 in 2012/13.

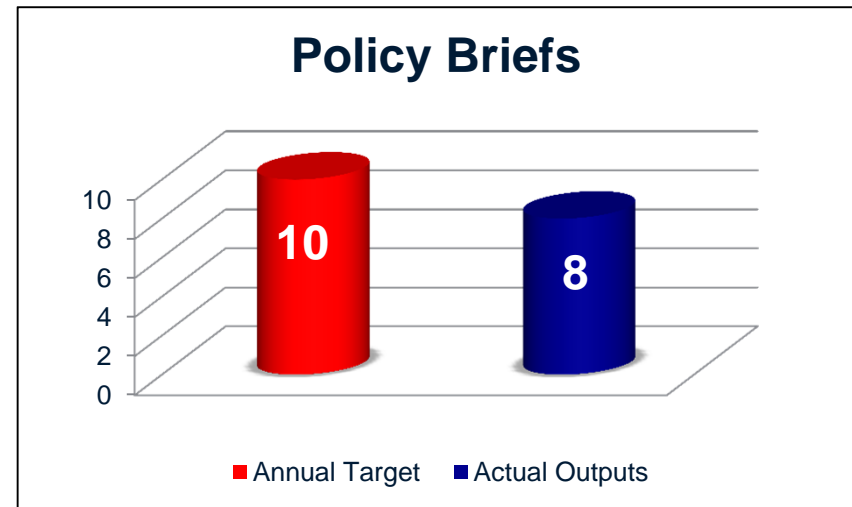
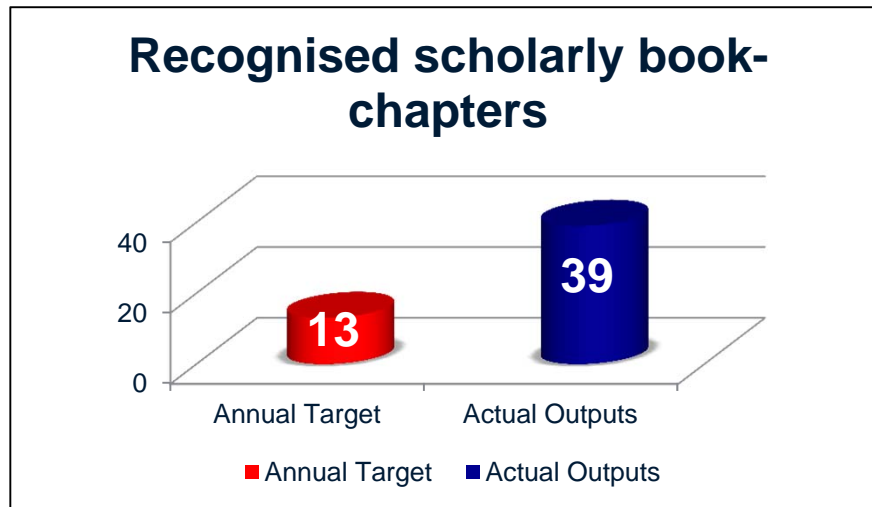
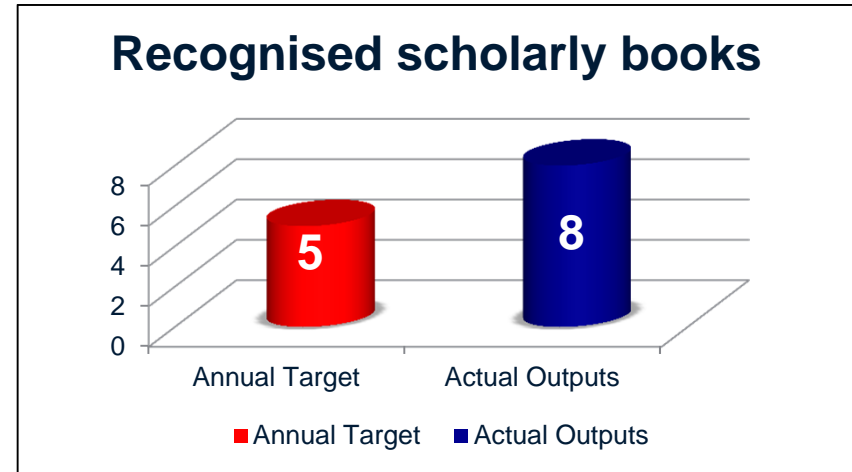
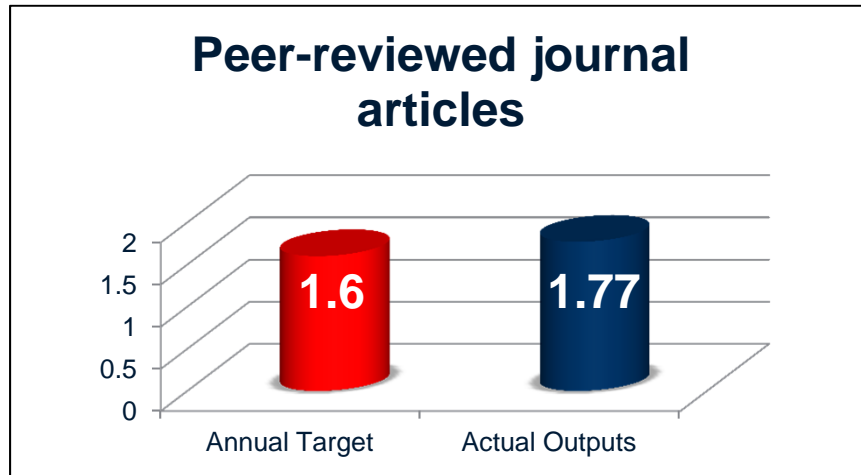
- Peer-reviewed journal articles: 115
- Other internationally accredited journal articles: 12
- Other peer-reviewed journal articles (DHET (SA)): 28
- Non-peer reviewed journal articles: 42
- Scholarly books: 8
- Scholarly book chapters: 39
- Non-scholarly books: 3
- Non-scholarly book chapters: 8
- Client and other research reports: 30
- Policy briefs: 8





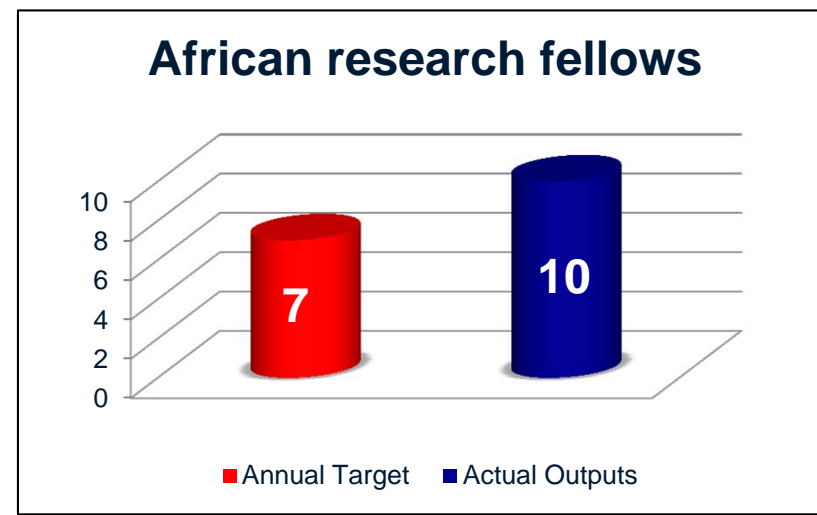
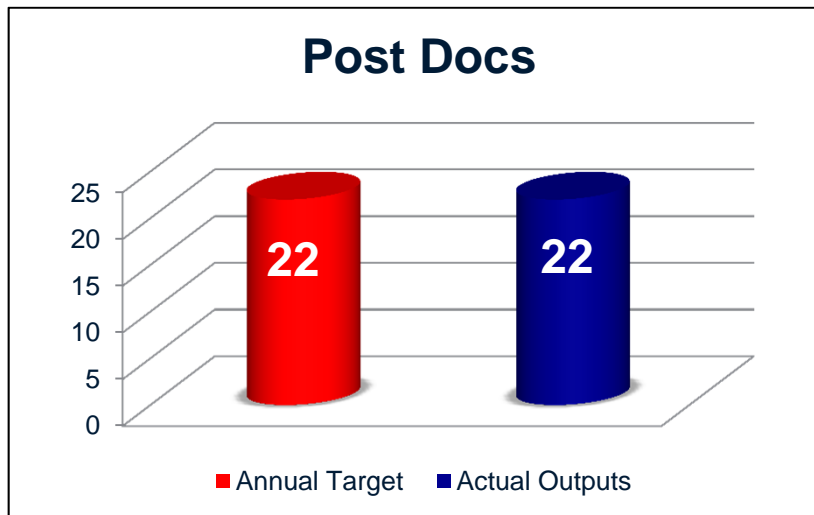
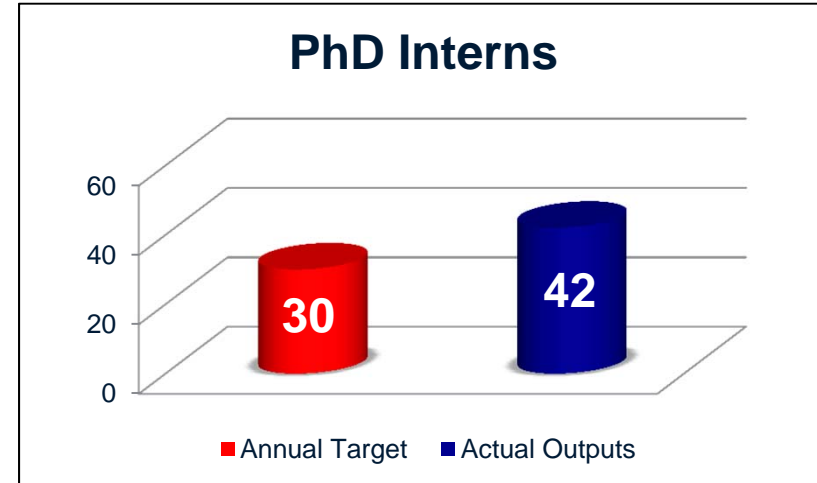
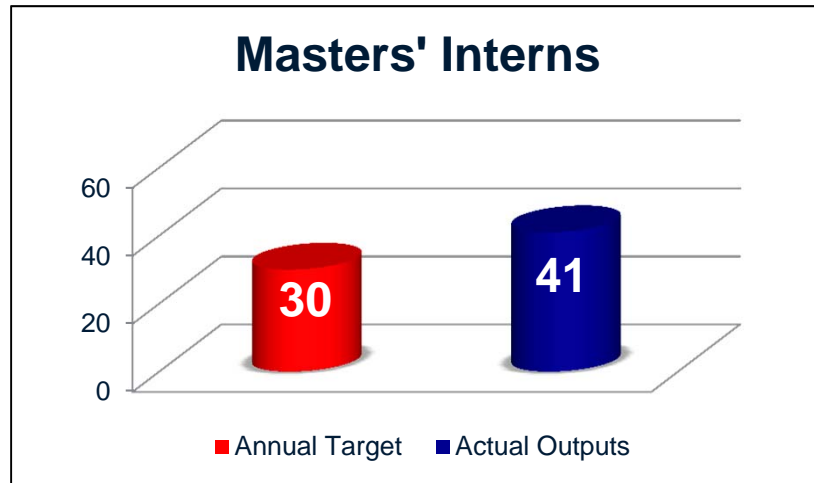


# Audited: Performance Against Targets 2012/13 (1)



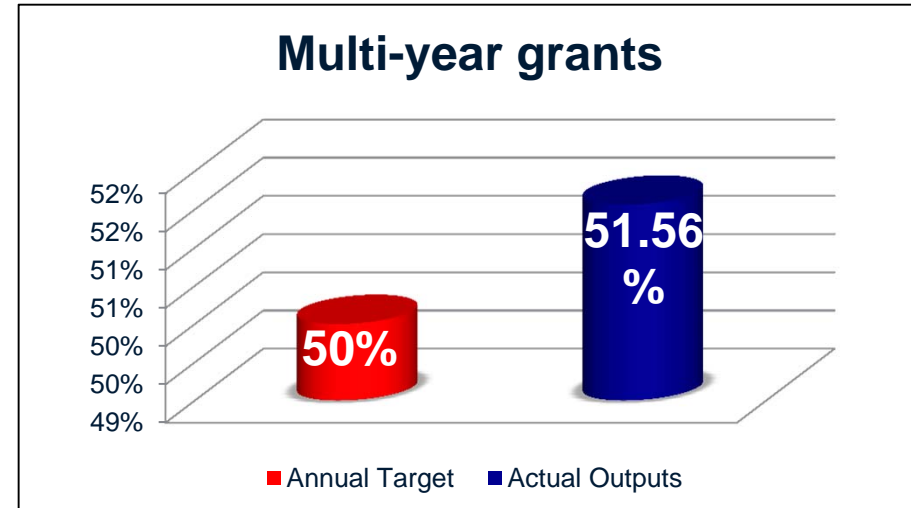
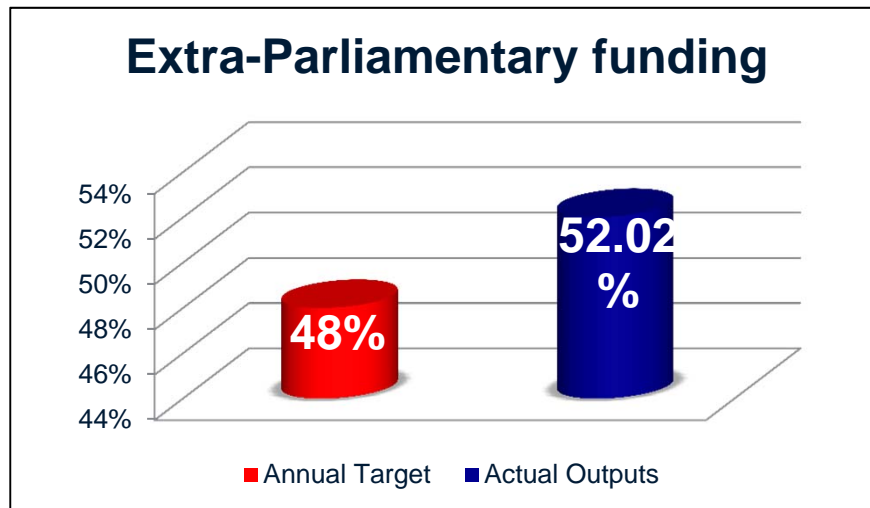
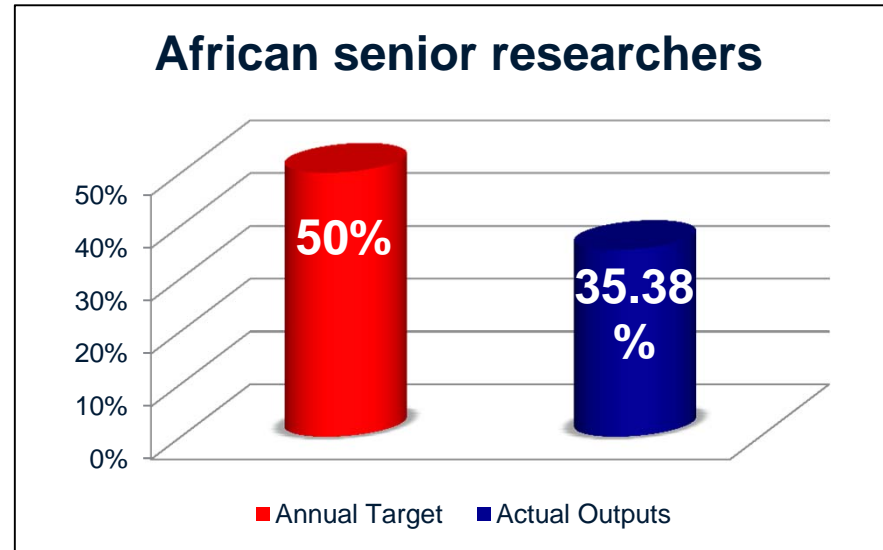


# Audited: Performance Against Targets 2012/13 (2)



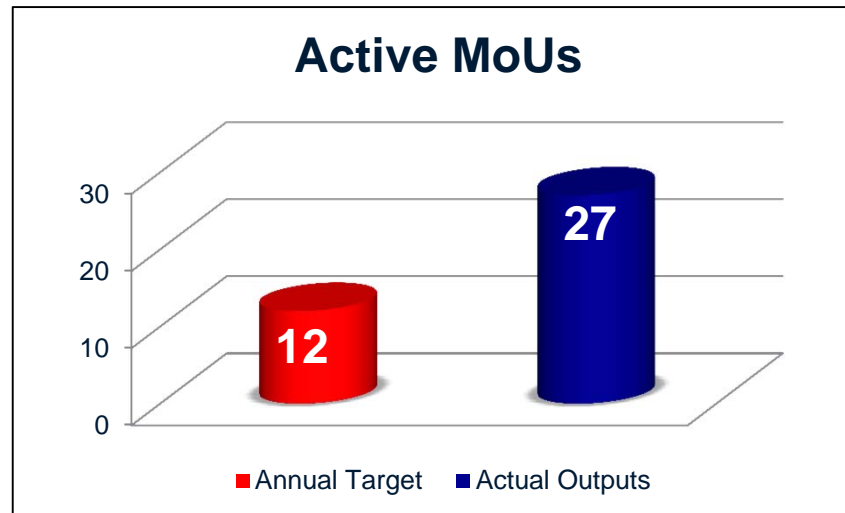
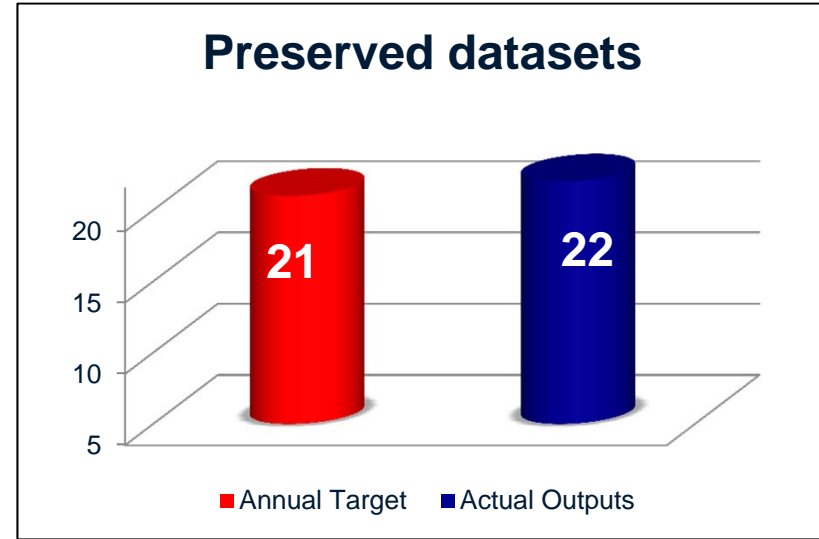
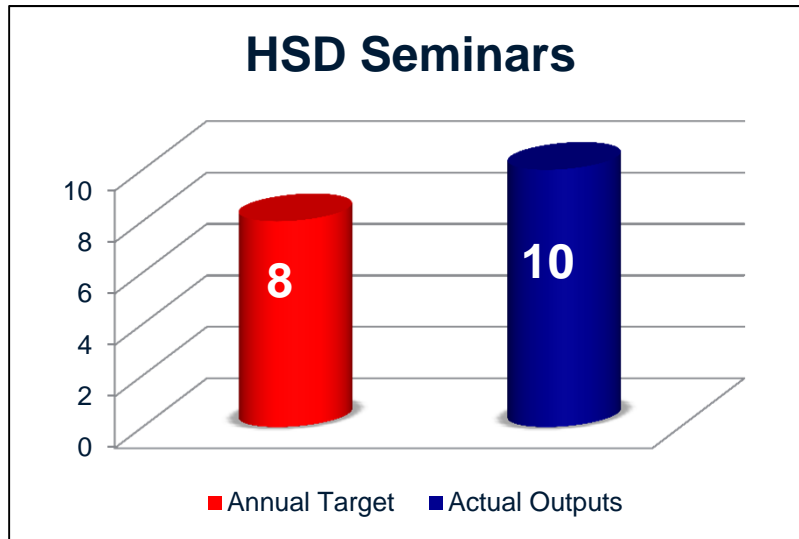


# Audited: Performance Against Targets 2012/13 (3)





# Audited: Performance Against Targets 2012/13 (4)







## Highlights: HSRC global Player

- 2012 World AIDS Conference Washington, DC, from 21 – 27 July 2012.
- Hosted Global Prevention Working Group (PWG) for which the HSRC acted as Secretariat, CEO co-chaired
- ISSC Satellite Session hosted by HSRC: Rethinking the role of Social Sciences in the context of HIV/AIDS
- Co-chaired : The UNAIDS Investment Framework:
- Steering Committee of International AIDS Conference in Africa
- HSRC hosting interim BRICS Think Tank, Chaired the BRICS Think Tank Council for all five countries and preparing Vision and strategy document



## Highlights: Global player, contd

- Member of the Global Advisory Group on HIV and Sex Education (UNESCO)
- 2009-2012 Chairperson of the Scientific Committee on the Clinical Psychology Division for the 2012 International Congress of Psychology
- Member of the General Assembly of the International Association for the Evaluation of Educational Achievement (since 2010)
- WHO Civil Society Reference Group on HIV
- Appointed Editor-in-Chief of the highly-rated international journal – Regional Studies – in early 2013
- Keynote address to the UN-Habitat Governing Council meeting in Nairobi in April 2013
- Africa and Twenty-First Century Development Challenges: The North-South Development Agenda Reconsidered





# Stakeholders

## **Domestic Universities**

- University of Technology
- University of Venda
- Nelson Mandela Metropolitan University
- University of Fort Hare
- University of Johannesburg
- Stellenbosch

## **Universities International**

- University of Antwerp
- Dalhousie University
- Johns Hopkins
- Moorehouse school of Medicine

## **Science Councils**

1. MRC
2. ARC
3. Council for Geo Sciences





# Highlights

- Report is a celebration of the transformation of the HSRC over the past twenty years (relevance through research that focuses on national priorities, increased attention to the research-policy nexus);
- National longitudinal and repeat surveys as HSRC research flagships (international standing of the 4<sup>th</sup> HIV survey, policy ramifications of the 1<sup>st</sup> SA National Health and Nutrition Examination Study, improvements reflected in the Trends in Mathematics and Science Study, SA Social Attitudes Survey informing governments 20 Year Review).



## Highlights (cont.)

- The HSRC has established a dedicated Research Data Management Centre (RDMC) which brings together cross-cutting support functions to ensure that data-intensive research is managed well with high quality and good value for money;
- Reinvigoration of humanities related research ('Re-emergence of Astronomy in Africa: A Transdisciplinary interface of Knowledge Systems' conference with DST and NRF);
- Supporting evidence-informed policy making (contributions to key policy design issues for National Health Insurance);
- CESTII's support for the NEPAD African Science, Technology and Innovation Indicators (ASTII) initiative;
- HSRC Press as the leading scholarly publisher in Africa (State of the Nation and Voices of Liberation series).

# FINANCIAL STATEMENTS





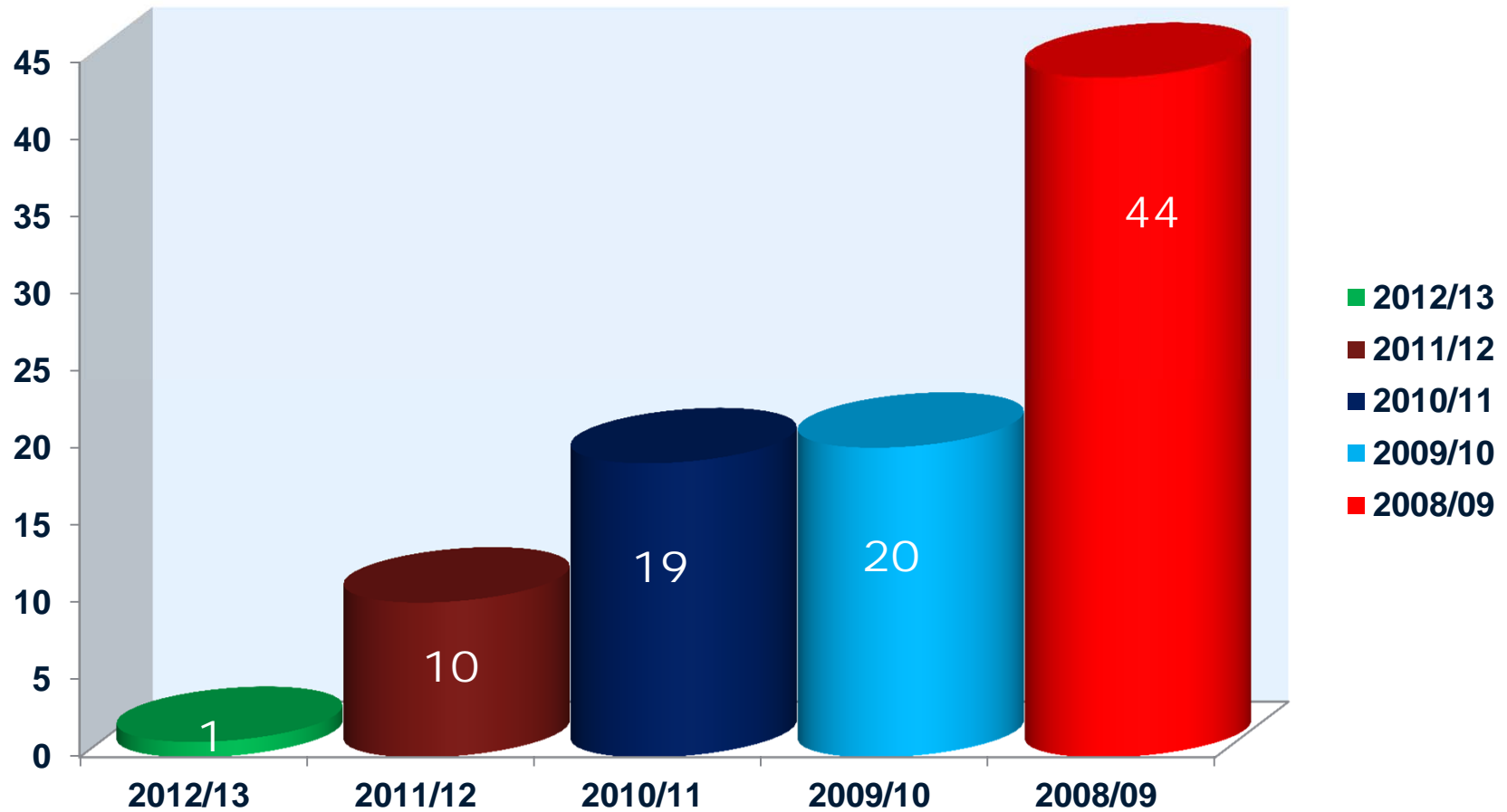
# Audit Outcomes

- AGSA Audit for the HSRC has been completed and the following are major highlights of the audit outcomes:
  - **UNQUALIFIED CLEAN** Audit Opinion issued by the AGSA;
  - **NO** Audit Adjustments were processed to our AFS;
  - **1** Audit Finding was raised by the AGSA (SCM finding raised during the interim);
  - The HSRC **Dashboard** has changed to **ALL GREEN** faces (as assessed by the AG). This is testimony to strong internal controls within the HSRC.



# Analysis of Audit Findings

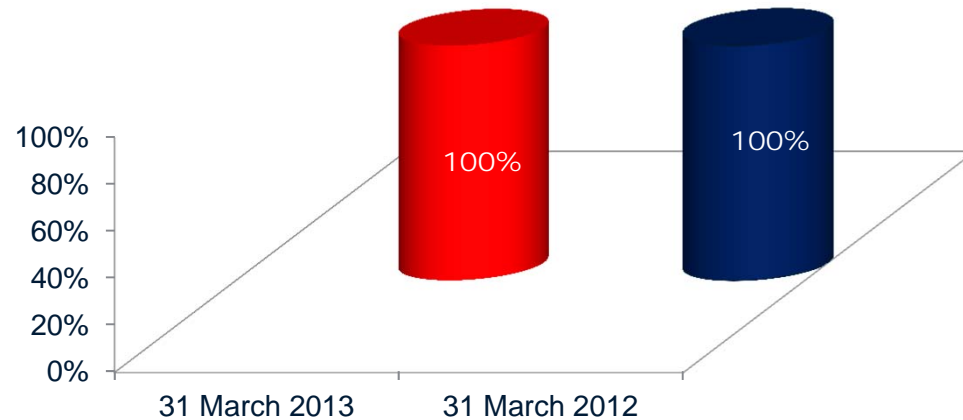
Number of findings



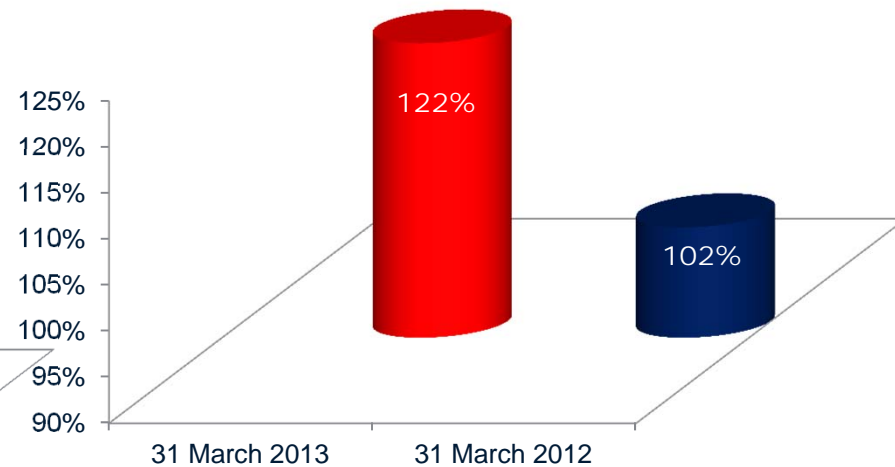


# Financial Performance - Highlights

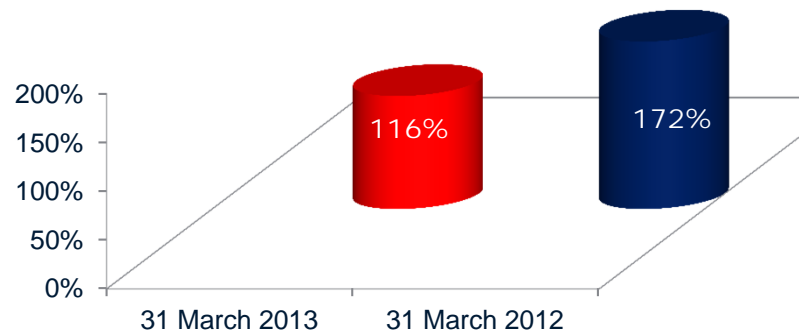
## Parliamentary Grant



## External Income



## Other Income





# HSRC 2012/13 Financial Performance

## - Highlights (Audited)

Narration	Actual 31 March 2013	Actual 31 March 2012
Parliamentary Grant received and recognized	188 M	181 M
External Income Target achieved	179 M	144 M
Other Income Target achieved	29 M	27 M
Actual Total Expenditure	400 M	348 M
Actual Total Revenue	396 M	351 M



PROUD OF OUR  
CONTRIBUTION IN  
HELPING TO BRIDGE  
THE DIVIDE FOR ALL  
SOUTH AFRICANS.





# Conclusion

- HSRC is a well governed institution, Board and Management work very well to achieve the goals
- Achieved the overwhelming majority of indicators
- Managed finances very well, leading to clean audit
- Continued to be a global player in knowledge production and communication





# Appreciation

- Minister, Deputy Minister and Department of Science and Technology
- Portfolio Committee of S&T, chair and members
- Board chair, members, committees
- Staff of the HSRC

